## Structural Robustness for Deep Learning Architectures

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## Outline

#### Context

- Deep Nets are easily fooled;
- Methods to prevent this:
  - Enrich the training set:
  - **However:** How to enrich? Implicit control.
  - Impose structural properties on network functions:
  - However: Often too restrictive.

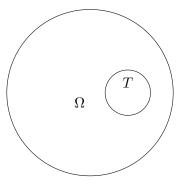
### Our work

- Our Proposal: localized lipschitz constraint around the examples;
- Main contributions:
  - Why proposed structural properties fail;
  - Relation between: proposed criterion and existing methods;
  - Robustness prediction using training set only.

## Classification

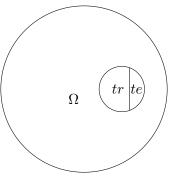
#### Regression with finite output;

- Objective: Generalization;
  - We have a training (restrict) set T of the domain Ω;
  - How does the classifier work on images outside the training set?
- **Problem:** How to define generalization performance?



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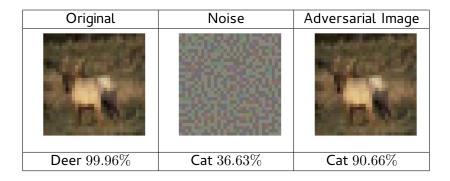
- Randomly divide the restrict set T in train (tr) and test (te);
- Proxy to unseen images;
- **Problem:** *te* and *tr* follow the same distribution!



Worst case scenario

## Adversarial attacks

Noise generated to specifically fool the network.

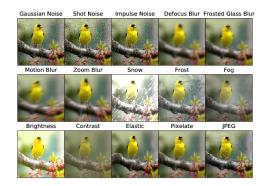


" Limitations of adversarial robustness: strong No Free Lunch Theorem "

Other scenarios

#### Random corruptions

Noise generated due to hardware problems, weather, noise, etc. [Heynckes & Dietterich 2019]



We analyze works based in two directions:

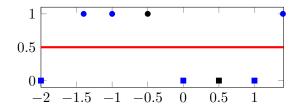
**1** Increase the size of the domain T:

- Bigger T -> Smaller  $|\Omega| |T|$  ;
- However  $|\Omega| \approx \infty$ ;
- Example: Adversarial Training (PGD, FGSM ... ).
- **2** Design network architectures with robust properties:
  - A: Control the Lipschitz constant of the network;

•  $\alpha$ -Lipschitz:  $\forall x, \forall \epsilon, ||f(x + \epsilon) - f(x)|| \leq \alpha ||\epsilon||;$ 

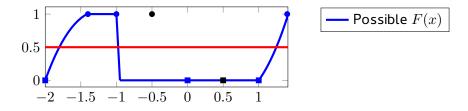
- B: Control the deformation of the boundary;
- Prior: Small changes in the input -> Small changes in the output;
- Examples: Parseval, Laplacian and L2NonExpansive networks.

- Classify data, two classes (circles and squares);
- tr: blue;
- te: black.

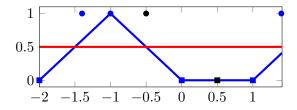


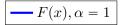
#### Train a network F(x);

How to make it respect the prior?



- Bound the network variation;
- Bound  $\alpha \leq 1 \rightarrow$  respect prior;
- **However:** sometimes incompatible with dataset.



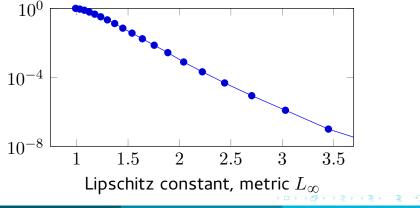


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## Lipschitz constant vs CIFAR-10

- Test α incompatibility on CIFAR-10 tr;
- Metric:  $L_{\infty}$ ;
- Output: One-hot embeddings.

Fraction of pairs incompatible with the constraint:



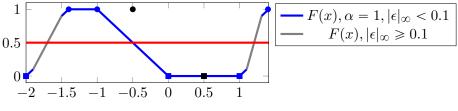
## **Recall** $\alpha$ -Lipschitz: $\forall x, \forall \epsilon, ||f(x + \epsilon) - f(x)|| \leq \alpha ||\epsilon||;$

## **Recall** $\alpha$ -Lipschitz: $\forall x \in T, \forall \epsilon, ||f(x + \epsilon) - f(x)|| \leq \alpha ||\epsilon||;$

## **Recall** $\alpha$ -Lipschitz: $\forall x \in T, \forall ||\epsilon|| \leq ||r||, ||f(x + \epsilon) - f(x)|| \leq \alpha ||\epsilon||;$

## $\blacksquare \ \mathsf{Local} \ \alpha - \mathsf{Lipschitz} : \ \forall x \in T, \forall ||\epsilon|| \leqslant ||r||, ||f(x+\epsilon) - f(x)|| \leqslant \alpha ||\epsilon||;$

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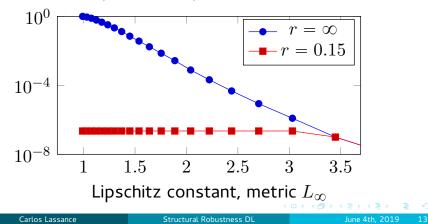


#### Locality and domain-restricted

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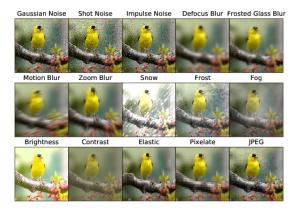


## Existing methods under our definitions

- 1 Vanilla (V)
- Parseval Networks (P) [Cisse et al 2017]:
  - Regularizer to enforce  $\alpha_{lim} = 1$ ;
  - Soft constraint, everywhere on the space.
- 3 L2 Non Expansive (L2NN) [Qian and Wegman 2019]:
  - Change network structure to enforce  $\alpha_{lim} = 1$ ;
  - Hard constraint, everywhere on the space.
- 4 Laplacian Networks (L) [Ours 2019]:
  - Regularizer to enforce smooth transitions;
  - Soft constraint, around the boundary region.
- 5 PGD Training (PGD) [Madry et al 2018]:
  - Add adversarial examples to tr;
  - Increases the domain tr in a localized way.



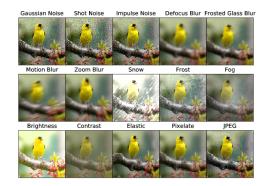
Robustness benchmark [Heynckes & Dietterich 2019];
Generates *te*.



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#### Results

### Clean images $(Acc_{te})$ : P > V > PGD > L > L2NN; Relative performance $(Acc_{te} - Acc_{te})$ : PGD > L2NN > L > P > V.



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# Experiments

Proposed measure

- **Test**  $\alpha_{lim}$  and r around examples in tr;
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Relative performance: PGD > L2NN > L > P > V.

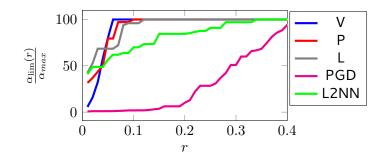
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## Conclusion

- Introduced a formal definition of robustness:
  - Based on a slope  $\alpha$  defined on a radius r around T.
- Analyzed existing methods in the literature;
- Demonstrated an empirical link between proposal and robustness.

